

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas F. Connor, et al.
Filing Date: November 5, 2001
Entitled: GENERATING A RISK ASSESSMENT REGARDING A
SOFTWARE IMPLEMENTATION PROJECT



BOX: PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been conducted, that the references are material to the patentability of the present application, or that these references qualify as prior art.

Respectfully submitted,
BAKER BOTTS L.L.P.

A handwritten signature in cursive script, appearing to read "C. Kennerly".

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PTO-1449 Information Disclosure Citation In an Application	Application No.		Applicant(s) Douglas F. Connor, et al
	Docket No. 021953.1055	Group Art Unit	Filing Date 11/05/01

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
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	E						
	F						

14857 U.S. PTO
09/992283
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	G							
	H							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	I	Howard Solomon, "Year 2000 bug embedded deep in hidden places", Computing Canada, 1 page.	03/23/98
	J	Mark Keil, Paul E. Cule, Kalle Lyytinen, and Roy C. Schmidt, "A Framework for Identifying Software Project Risks," November 1998/Vol. 41. No. 11, Communications of the ACM, 8 pages.	11/98
	K	Chris Vandersluis, "Plan is key to avoiding IT project failures," Computing Canada, 1 page.	04/28/97
	L	David J. Gardner CMC, "How to Avoid IT Project Failures," Consulting To Management, Volume 11, No. 1, May 2000, 3 pages.	05/00
	M	Mark Keil and Daniel Robey, "Turning Around Troubled Software Projects: An Exploratory Study of the Deescalation of Commitment to Failing Courses of Action," Journal of Management Information Systems/ Spring 1999, Vol. 15, No. 4, pp.63-87, © 1999 M.E. Sharpe, Inc., 25 pages.	Spring 1999
	N	Christopher Deephouse, Tridas Mukhopadhyay, Dennis R. Goldenson, and Marc I. Kellner, "Software Processes and Project Performance," Journal of Management Information Systems/ Winter 1995-96, Vol. 12 No. 3, pp. 187-205, Copyright © 1996 M.E. Sharpe, Inc., 19 pages.	Winter 1995-96
	O	K.D. Maxwell, R.J. Kusters, "Software project control and metrics," Information And Software Technology, Information and Software Technology 42 (2000) 963-964, © 2000 Elsevier Science B.V. All rights reserved, 2 pages.	© 2000
	P	Kurt R. Linberg, "Software developer perceptions about software project failure; a case study," The Journal of Systems and Software 49 (1999) 177-192, Walden University, 6328 Eden Prairie Road, Eden Prairie, MN 55346, USA Received 7 November 1998; received in revised form 29 November 1998; accepted 10 February 1999, © 1999 Elsevier Science Inc. All rights reserved, 16 pages	© 1999

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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